



**Department  
of Health**

**Office of  
Health Insurance  
Programs**

# **Children's Health Homes: Training on Complex Trauma Determination**

**Presented by:**

**Kara Dean-Assael, LMSW**

**Lydia Franco, LMSW**

**McSilver Institute for Poverty Policy and Research**

# Our Agenda

- **Welcome & Introductions**

- **Topics to Cover:**

- Review of Complex Trauma Final Eligibility Tools and Documents
- Review & Administration of Complex Trauma Exposure Screen (CTES)

# Housekeeping

- **Material that has been taken from 3 in person trainings offered:**
  - Albany, NY- 2/21/17
  - Rochester, NY- 2/23/17
  - New York, NY- 2/27/17
- **Material is current as of the date of the recording: May 1, 2017**

# About the McSilver Institute for Poverty Policy and Research

The McSilver Institute for Poverty Policy and Research at New York University Silver School of Social Work is committed to creating new knowledge about the root causes of poverty, developing evidence-based interventions to address its consequences, and rapidly translating research findings into action.

# Community Technical Assistance Center (CTAC) & Managed Care Technical Assistance Center (MCTAC) Overview

CTAC & MCTAC are training, consultation, and educational resource centers that offer resources to all mental health and substance use disorder providers in New York State.

MCTAC provides training and intensive support on quality improvement strategies, including business, organizational and clinical practices to achieve the overall goal of preparing and assisting providers with the transition to Medicaid Managed Care.

# McSilver Institute's Role

The NYS Department of Health has engaged the McSilver Institute to provide training and technical assistance around the implementation of Complex Trauma within Children's Health Homes.

The Readiness Assessment (RA) is a key piece of our work together as the information provided by Health Homes and Care Management Agencies will help to guide and tailor trainings and resources.

# The McSilver and CTAC/MCTAC Team



Andrew Cleek, Psy.D.,



Boris Vilgorin, MPA



Meg Baer, LMSW



Yvette Kelly, LMHC



Kara Dean-Assael, LMSW



Lydia Franco, LMSW



Jayson Jones, LMSW



Dan Ferris, MPA



Ashley Fuss, LMSW



Anthony Salerno, PhD

# Review & Administration

# Complex Trauma Final Eligibility Tools and Documents

1. Complex Trauma Exposure Screen Form and Referral Cover Sheet –  
Completed by Non-Licensed Professional or Licensed Professional
2. Complex Trauma Exposure Assessment Form – Must be completed by  
Licensed Professional
3. Functional Impairment Assessment through the completion of the appropriate  
identified NCTSN guideline list of domain assessment tools - Must be  
completed by Licensed Professional
4. Complex Trauma Eligibility Determination Form - Must be completed by  
Licensed Professional



# The Three “E’s” of Trauma



# Complex Trauma Exposure Screen (CTES)

# The Complex Trauma Exposure Screen



Department of Health

## Complex Trauma Exposure Screen (CTES)

Please indicate whether the child experienced the following types of traumatic events using all available information (e.g. self- or caregiver report, review of records, etc.). Conduct a **brief** interview with the child *only if you do not already have enough information to make a determination about complex trauma exposure*. To avoid undue distress, ask only about types for which you do not already have information. If information for a particular trauma is known, *do not request additional details from the child* for that type. For example, if the child has a documented history of physical neglect, endorse "Y", and move on to the next category. *Once the presence of 2 or more trauma types has been reported (or 1 lasting greater than 6 months), discontinue the interview portion of the screen.*

Sources of Information (check all that apply):  Parents/Caregiver  Chart/Records Review  Child/Youth Report  Other (specify):

Prompts/Questions <small>(suggested prompts/questions for assessing trauma exposure within each category)</small>	Trauma Type	Present? Y/N	> 6 mos?
Was there a time when adults who were supposed to be taking care of you didn't? Has there ever been a time when you did not have enough food to eat?  Did a parent or other adult in the household often ... Swear at you, insult you, put you down, or humiliate you? Or act in a way that made you afraid that you might be physically hurt?	Physical/Emotional Neglect Or Emotional Maltreatment		
Have you lived with someone other than your parents/caregiver while you were growing up (because they couldn't take care of you or you were kicked out)? Have you ever been homeless? This means you ran away or were kicked out and lived on the street for more than a few days? Or you and your family had no place to stay and lived on the street, or in a car, or in a shelter?	Displacement		
Have you lost a primary caregiver through death, incarceration, deportation, migration, or for other reasons? Have you been left in the care of different people due to parental incapacity or dysfunction, even if your primary place of residence did not change? Have you had two or more changes in your primary caregiver or guardian, either formally (legally) or informally?	Attachment Disruption		
Has anyone ever made you do sexual things you didn't want to do, like touch you, make you touch them, or try to have any kind of sex with you? Has anyone ever <i>tried</i> to make you do sexual things you didn't want to do? Has anyone ever forced you (or tried to force you) to have intercourse?	Sexual abuse Sexual assault/rape		
Have you ever been hit or intentionally hurt by a family member? If yes, did you have bruises, marks or injuries?	Physical Abuse		

# Zoe's Story

**Zoe is a 9 year old, Caucasian girl living with her mother, step-father, and her younger brother. The parents have a violent relationship that has been witnessed by both of the children. She recently went to school with bruises and black eyes. A report was made to child protective services, which removed her and her younger brother from the home. She was separated from her younger brother and placed into foster care.**

- **Does Zoe screen positive for Complex Trauma?**
- **What is your next step?**

***This story comes from a short film titled ReMoved by Nathanael Matanick, and follows her emotional story as she travels in and out of foster care.***

***You can view the film here: <https://www.removedfilm.com/>***

# Jeremy's Story

**Jeremy is a 6 year-old African American boy who lives with his grandmother in kinship care. Jeremy has been in and out of mental health treatment for several years. He was first referred to treatment by the school when he was in Pre-K. Jeremy's mother is living on the streets and is currently homeless and last reported by grandmother as addicted to heroin. Jeremy has been living with his grandmother since he was 2. He is currently in first grade and reportedly being bullied by some older students after school.**

- **Does Jeremy screen positive for Complex Trauma?**
- **What is your next step?**

## PLEASE NOTE:

*Conduct a brief interview with the child **only** if you do not already have enough information to make a determination about complex trauma exposure. To avoid undue distress, ask **only** about types for which you do not already have information. **If information for a particular trauma is known, do not request additional details from the child for that type.***

# The Complex Trauma Exposure Screen

## Do's and Don'ts

- Do gather other family and child history and information obtained by non-licensed professional or the assessing licensed professional
- Do invite other providers working with youth to obtain information
- Do take steps to avoid redundant assessing of the child and family
- IF you complete the screen with the child, and/or a family member (vs. record review), do review the purpose of the screen with the child and the family.
- Don't ask the child any of these questions without going through other available sources of information.
- Don't ask questions you already know the answer to

**Discontinue the screen after enough information has been obtained to make the referral**

# Questions or Concerns?

**If you have additional questions about this training or technical assistance, please email us here:**

**[HHcomplextrauma.info@nyu.edu](mailto:HHcomplextrauma.info@nyu.edu)**

**You can access documents here:**

**<http://ctacny.org/complextrauma>**



# Resources

General Information on Complex Trauma: <http://www.nctsn.org/trauma-types/complex-trauma>

Effects of Complex Trauma: <http://www.nctsn.org/trauma-types/complex-trauma/effects-of-complex-trauma>

Guidelines for Complex Trauma Assessment:

[NCTSN: “How to Conduct a Comprehensive Assessment of Complex Trauma” http://www.nctsn.org/trauma-types/complex-trauma/assessment](http://www.nctsn.org/trauma-types/complex-trauma/assessment)

DOH:

[https://www.health.ny.gov/health\\_care/medicaid/program/medicaid\\_health\\_homes/docs/6\\_17\\_2016\\_guidelines\\_on\\_complex\\_trauma\\_assessment\\_process.pdf](https://www.health.ny.gov/health_care/medicaid/program/medicaid_health_homes/docs/6_17_2016_guidelines_on_complex_trauma_assessment_process.pdf)

Measures to Assess Complex Trauma:

<http://www.nctsn.org/content/standardized-measures-assess-complex-trauma>

Information on Adverse Childhood Events:

[https://www.ted.com/talks/nadine\\_burke\\_harris\\_how\\_childhood\\_trauma\\_affects\\_health\\_across\\_a\\_lifetime?language=en](https://www.ted.com/talks/nadine_burke_harris_how_childhood_trauma_affects_health_across_a_lifetime?language=en)

American Academy of Experts in Traumatic Stress: <http://www.aaets.org/article196.htm>

Removed: <https://www.youtube.com/watch?v=IOeQUwdAjE0>